



9

Quantum transport



Introduction to Nanoscience

S.M. Lindsay

Oxford University Press

Quantum Transport: Atom to Transistor

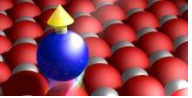
S. Datta

Cambridge University Press

Electron Transport in Quantum Dots

L. P. Kouwenhoven et al.

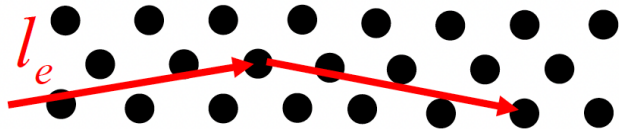
[Dots\\_Review.pdf](#)



- Transport through a 1D wire
- Coulomb blockade
- Single electron transistor



## Conductivity of a macroscopic sample



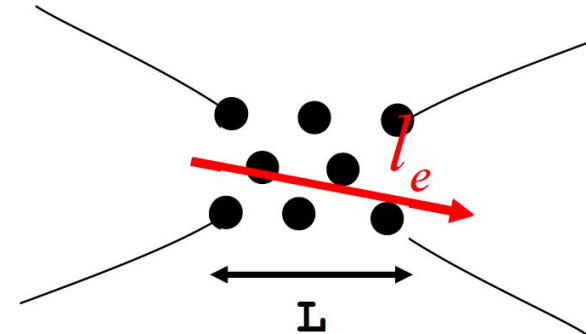
Drude / Sommerfeld model

$$\sigma = \frac{ne^2}{m^*} \frac{l_e}{v_F}$$

- $n$  : charge carrier density
- $m^*$  : effective carrier mass
- $l_e$  : carrier mean free path
- $v_F$  : Fermi velocity

**Example:** for copper  $l_e \sim 30$  nm

## Conductivity of a nanoscopic sample



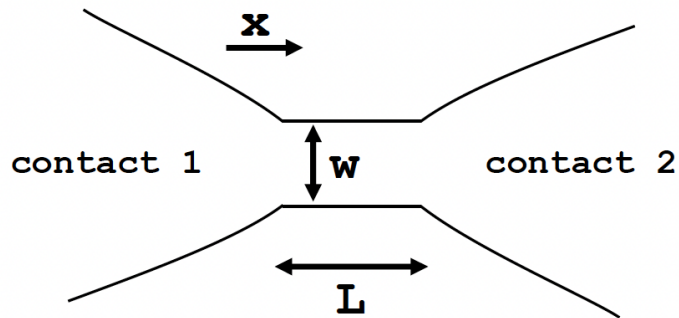
Drude model breaks down for  $l_e > L$

“ballistic conductance”

→ infinite conductance ?



1D wire



$$L < l_e \quad \text{and} \quad w < \lambda_F$$

1D wire ( $w \ll L$ )

confinement in two directions (y and z)  $\rightarrow$   
allowed energies in y and z-direction are quantized

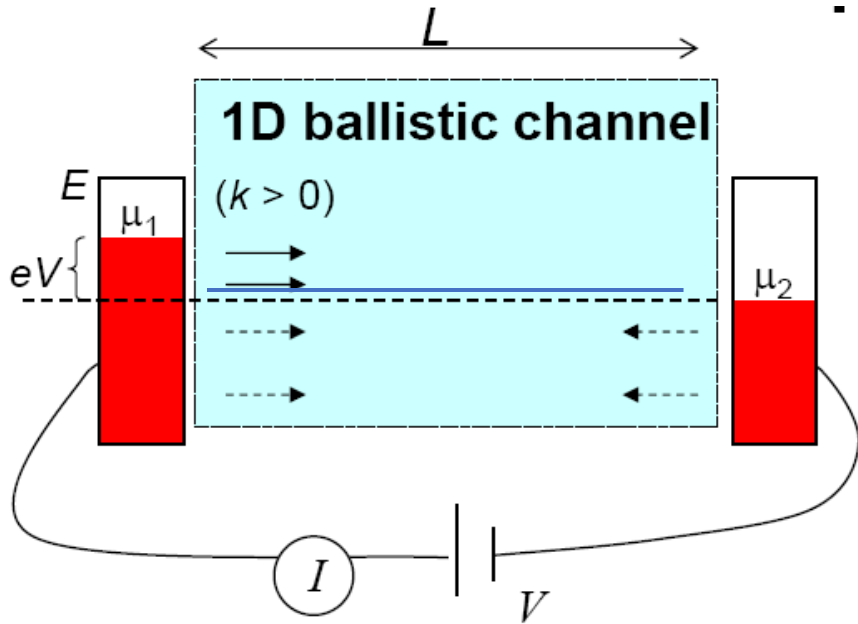
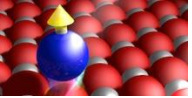
we consider that there is one discrete state (channel) with the energy  $E_\alpha$  in the energy range of interest  $eV$  where  $V$  is the voltage applied between the two contacts

energy of one electron

$$E = E_\alpha + \frac{\hbar^2 k_x^2}{2m^*}$$

velocity along  $x$

$$v_\alpha = \frac{\hbar k_x}{m^*} = \sqrt{\frac{2}{m^*} (E - E_\alpha)}$$



$\mu$  is the chemical potential  
(the Fermi level)

current  $\propto$  charge  $\times$  electron density  $\times$  velocity

$$I_\alpha = e \int_{\mu_1}^{\mu_2} g_\alpha(E) v_\alpha(E) dE$$

$T = 0$

density of states of a 1D system

$$g_\alpha(E) \propto \sqrt{\frac{m^*}{2(E-E_0)}}$$

velocity vs energy

$$v_\alpha(E) = \sqrt{\frac{2(E-E_\alpha)}{m^*}}$$

considering only  $k > 0$   
and a factor 2 for the spin

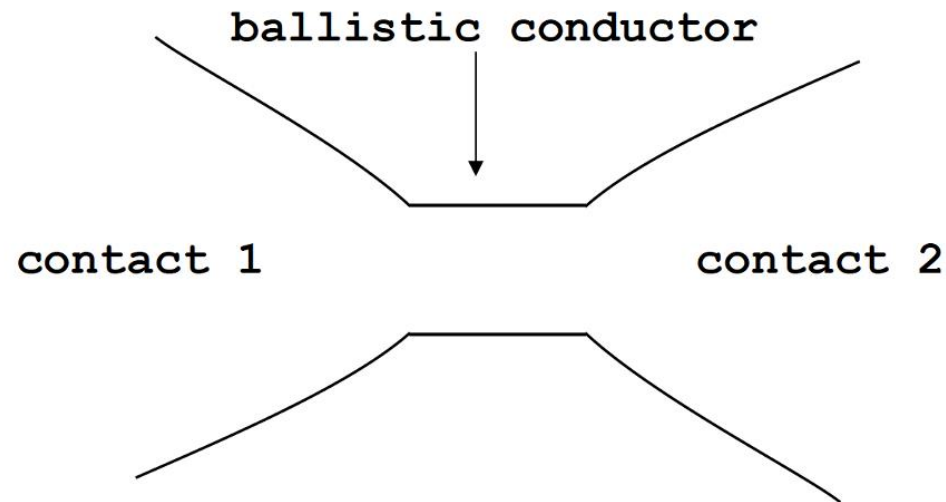
$$I_\alpha = e \frac{2}{h} (\mu_2 - \mu_1) = \frac{2e}{h} eV$$

Conductance independent of the length

$$G = \frac{I}{V} = \frac{2e^2}{h}$$

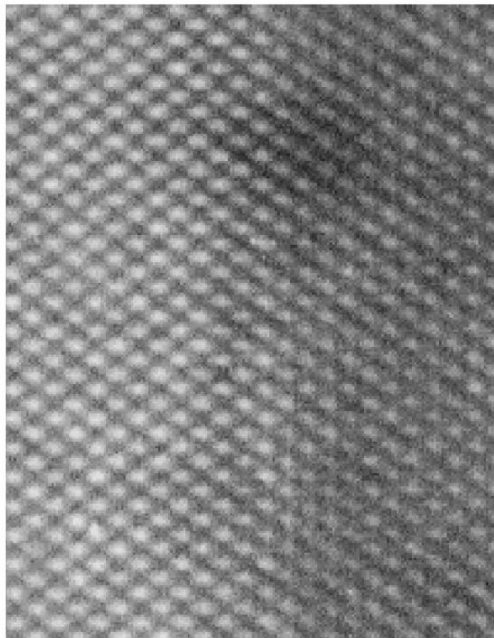
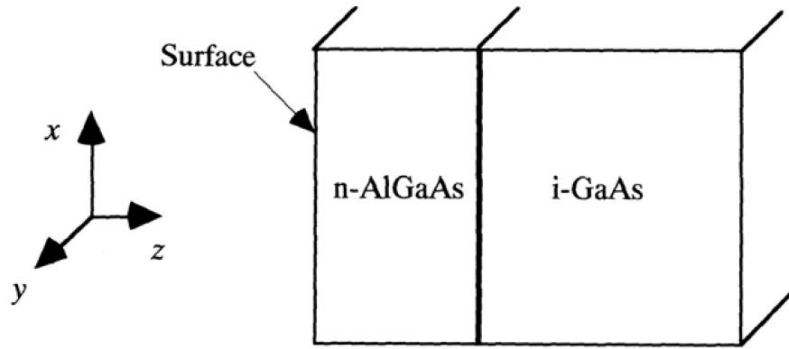


$$G_0 = \frac{2e^2}{h}$$

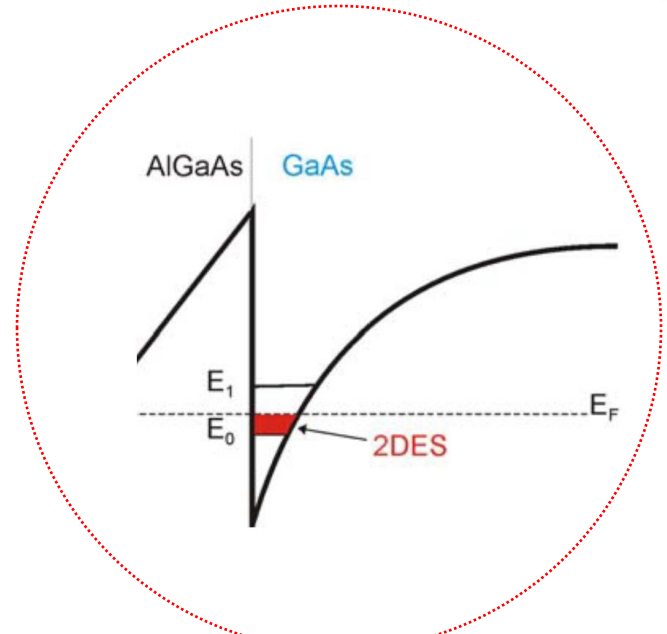
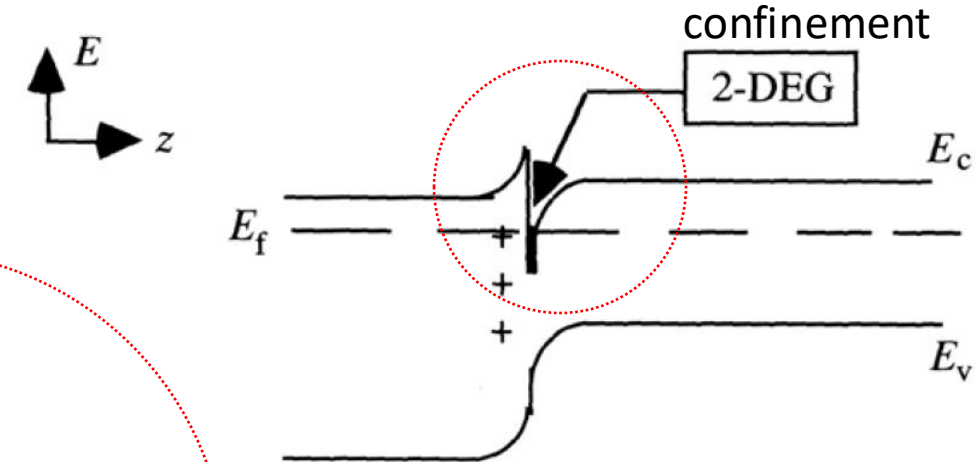
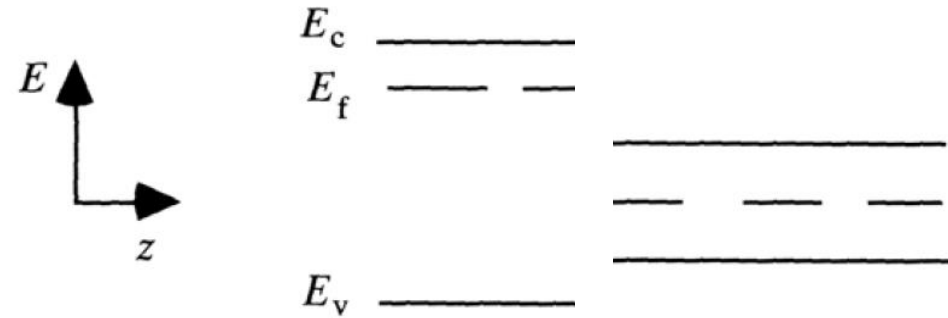


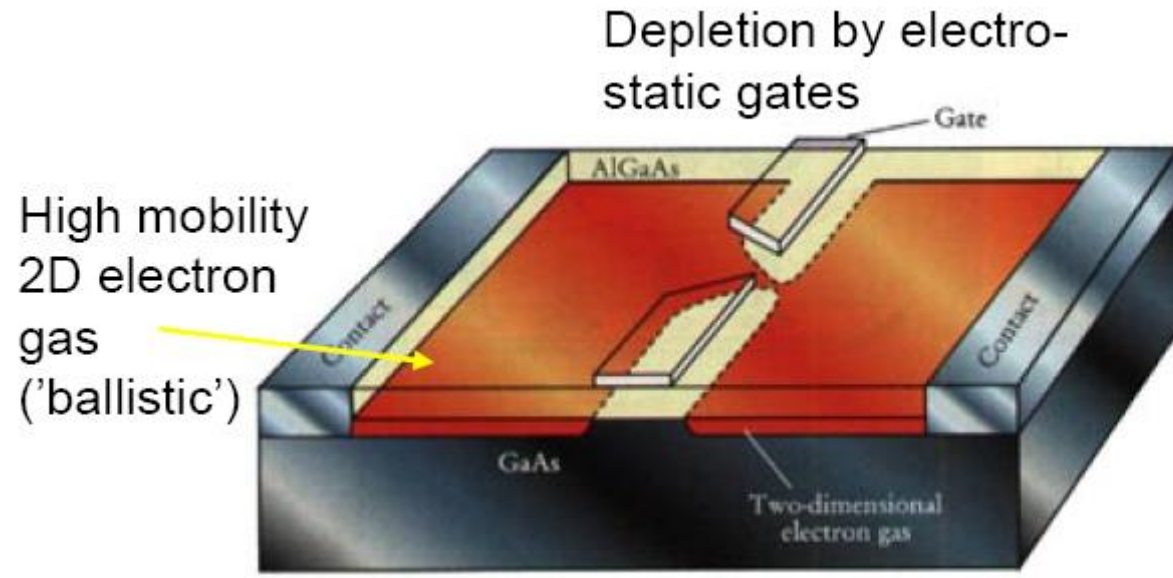
The conductance quantum can be rationalized as the **boundary resistance** between a perfect conductor and the contacts: the charge carriers must scatter into the ballistic conductor from the contacts.

The quantum Hall effect can be used to precisely measure the conductance quantum value

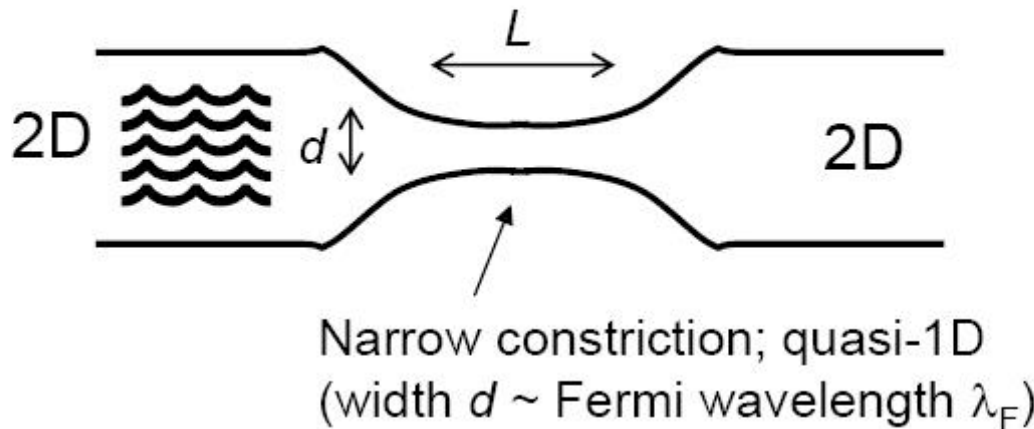


TEM image

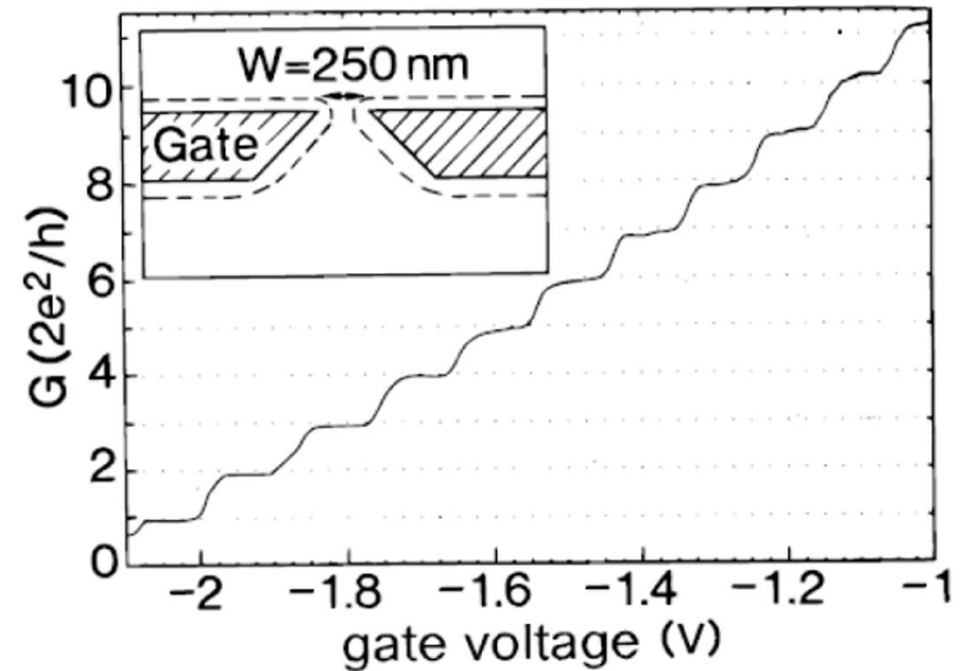




## 'Quantum Point Contact'



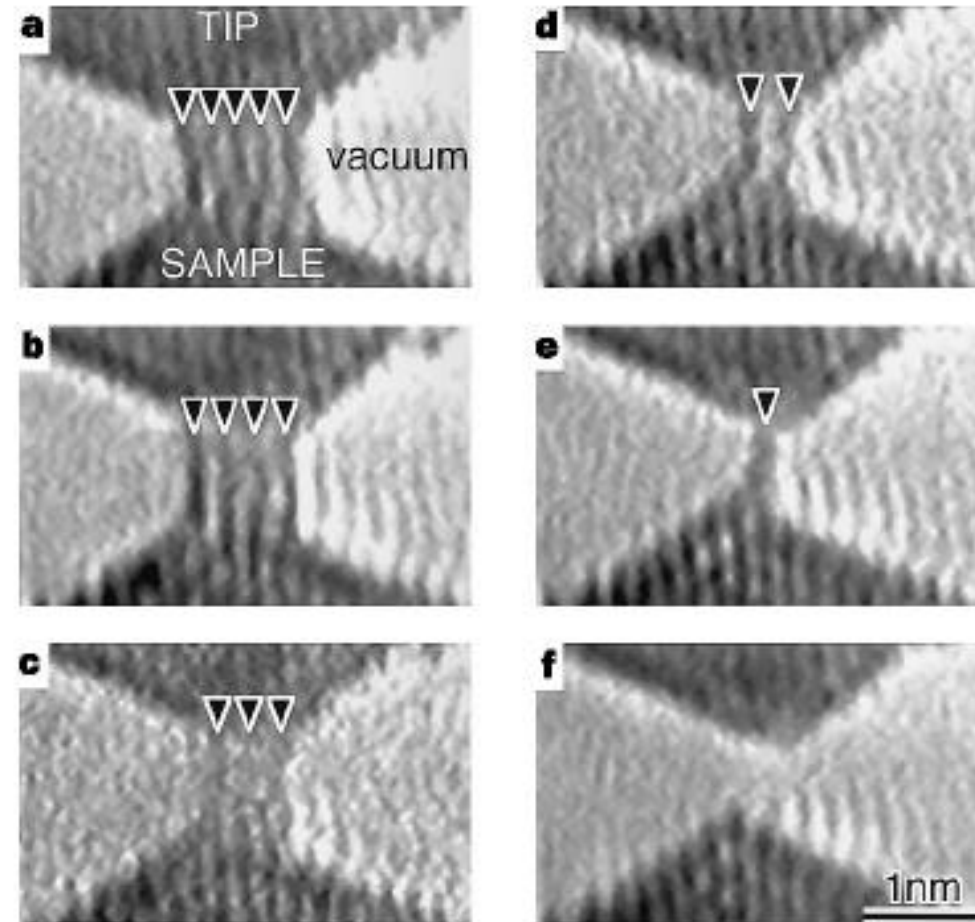
$d = 250 \text{ nm}; L = 1000 \text{ nm}$



contribution of more channels when reducing the gate voltage (increasing the width of the point contact)



Quantized conductance through individual rows of suspended gold atoms

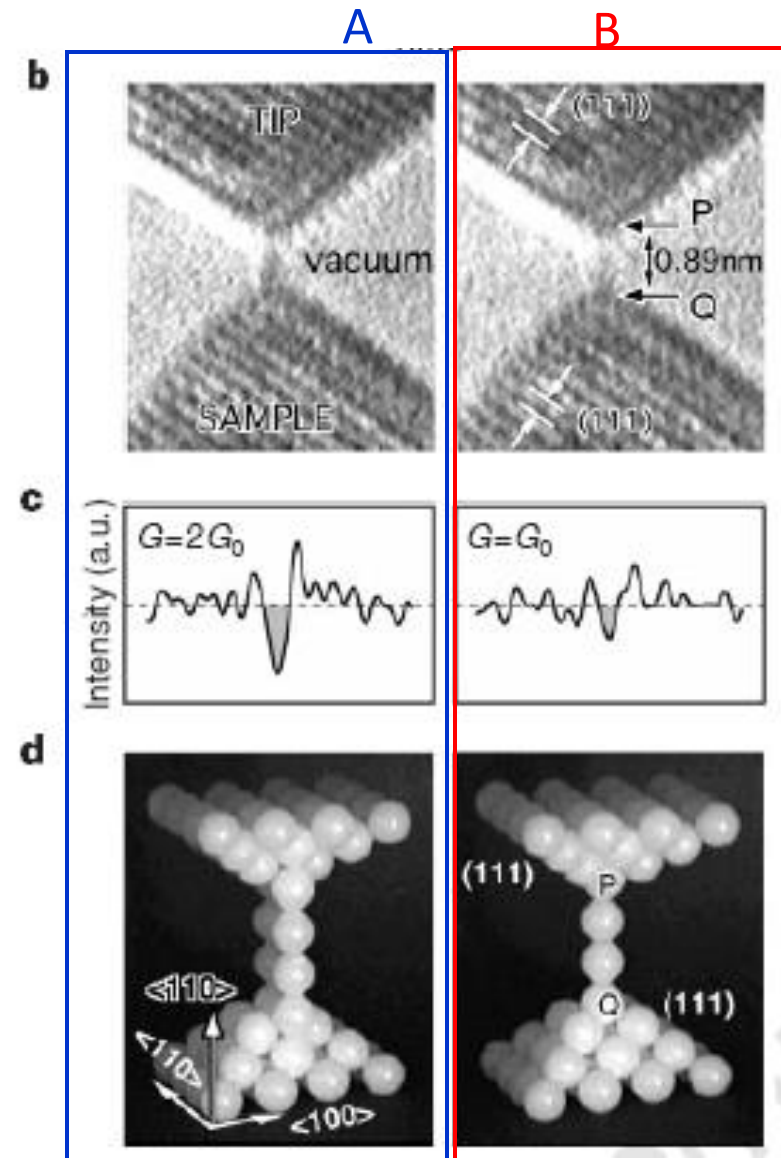
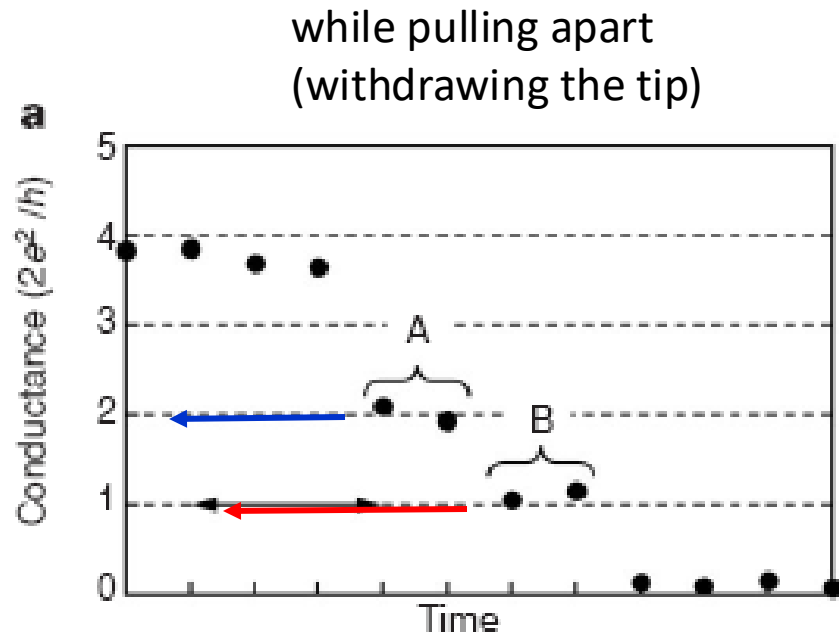


**Figure 2** Electron microscope images of a contact while withdrawing the tip. A gold bridge formed between the gold tip (top) and gold substrate (bottom), thinned from **a** to **e** and ruptured at **f**, with observation times of 0, 0.47, 1.23, 1.33, 1.80 and 2.17 s, respectively. Dark lines indicated by arrowheads are rows of gold atoms. The faint fringe outside each bridge and remaining in **f** is a ghost due to interference of the imaging electrons. The conductance of the contact is 0 at **f** and  $\sim 2 \times (13 \text{ k}\Omega)^{-1}$  at **e**.  $V_b = -10 \text{ mV}$  and  $R_F = 10 \text{ k}\Omega$ .



The conductance is quantized: current is carried by a finite number of states (channels) in the narrow conductor

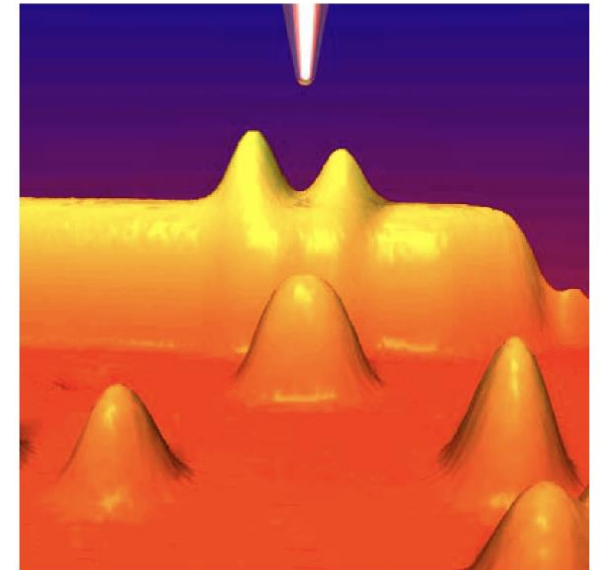
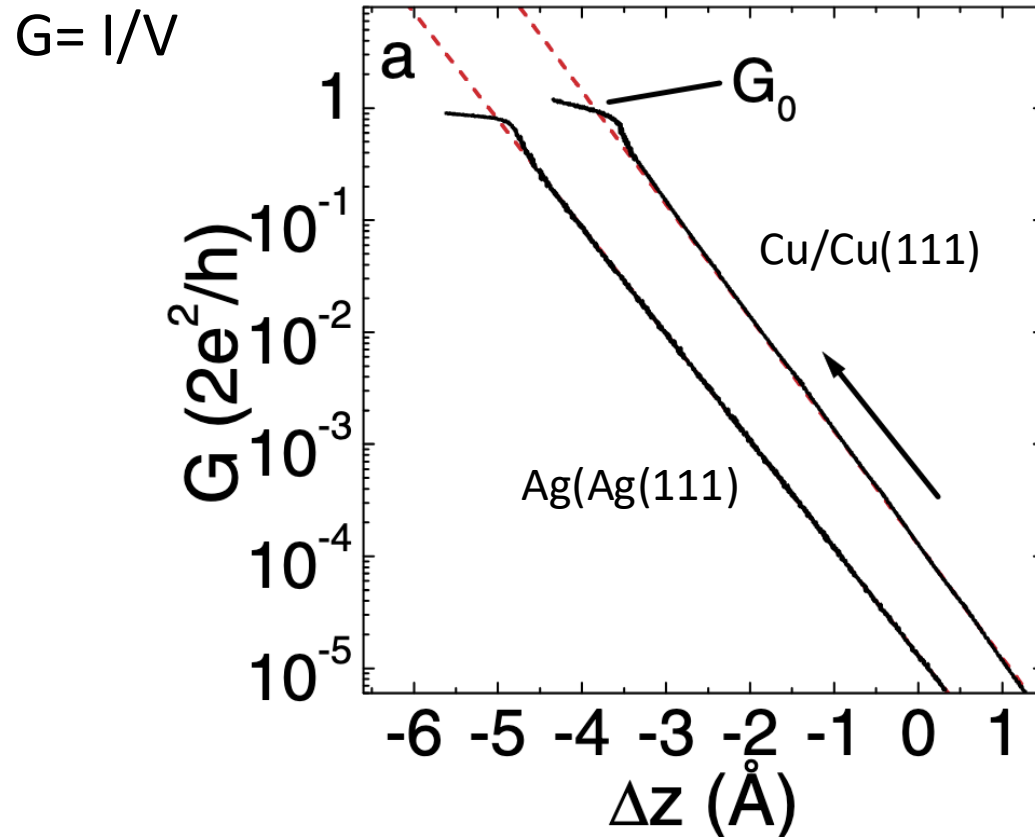
2 channels (states  $n=1, n=2$ ) for the two-atom wide wire  
1 channel (state  $n=1$ ) for the single-atom wide wire





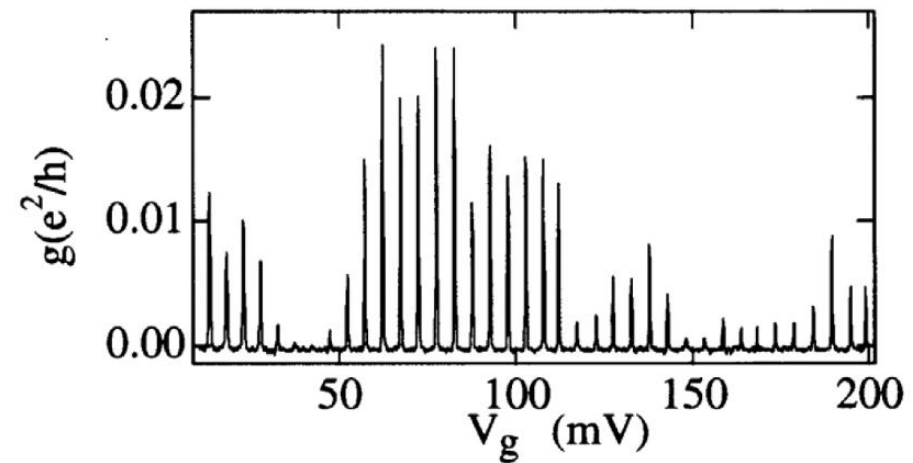
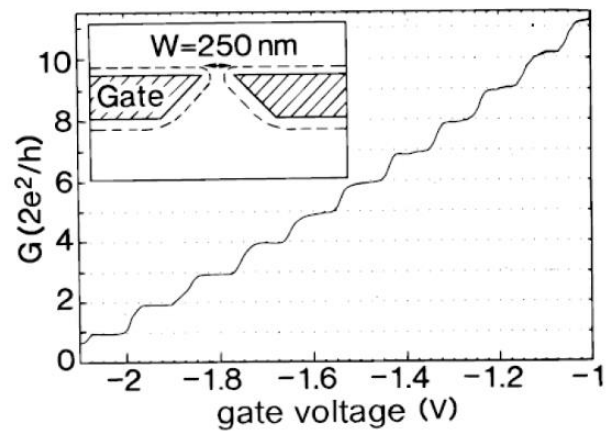
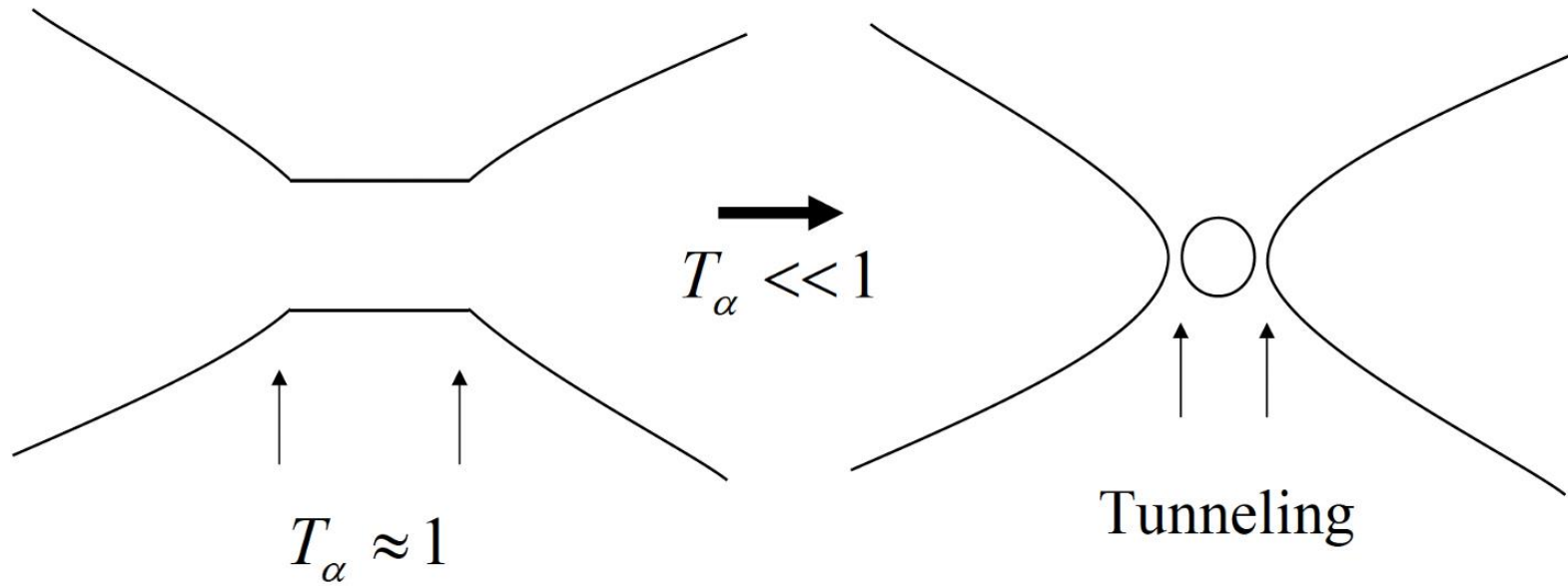
tip approaching an adatom (open feedback loop, current increases)

smooth and reproducible transition from tunneling to contact regime





$T_\alpha$ : Transmission of channel  $\alpha$





Electrons confined to small sizes

At which length scales the e-e Coulomb repulsion becomes important or dominant?

Consider a small metallic particle (dot) of diameter  $D$ .

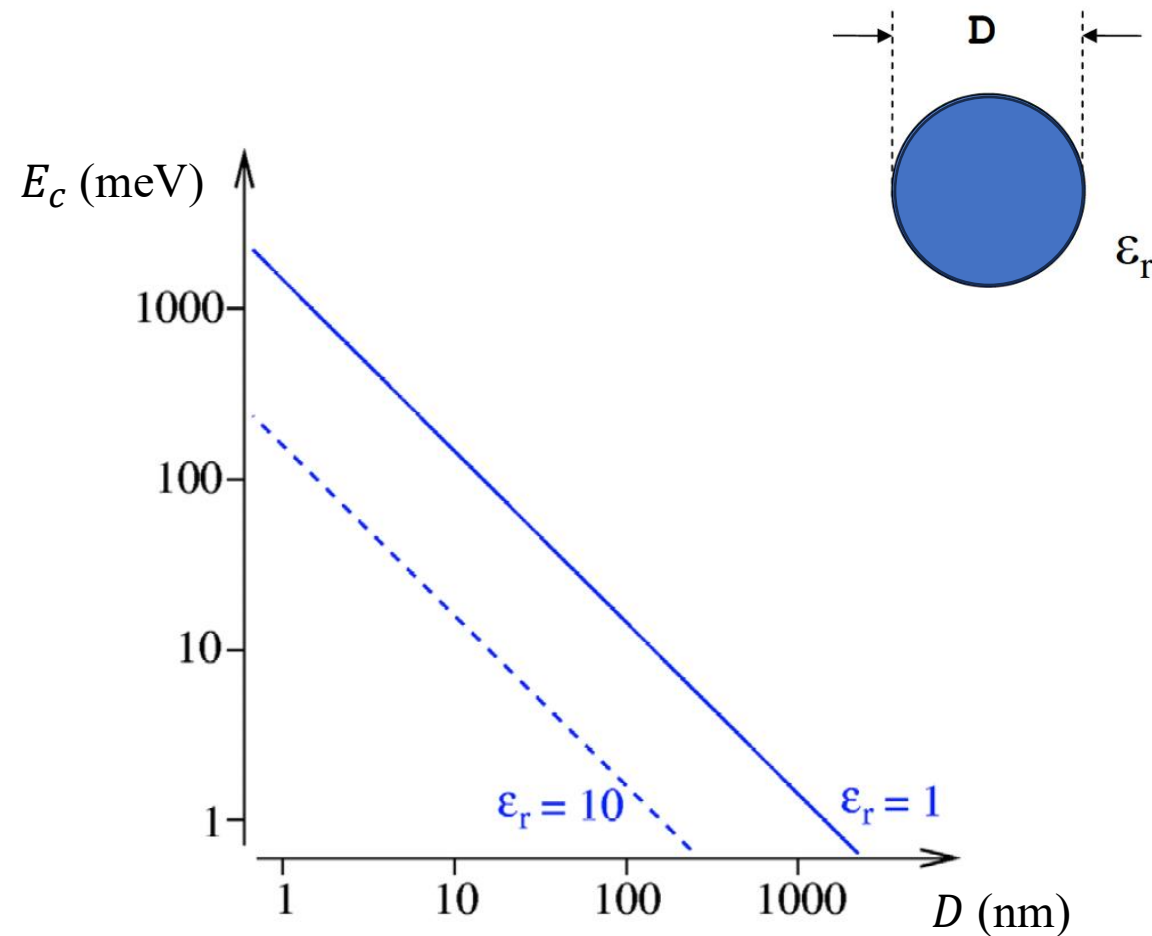
The capacitance of this dot is  $C = 4\pi\epsilon_0\epsilon_r \frac{D}{2}$

The energy of one additional electron brought onto this dot is given by

$$E_c = \frac{e^2}{2C} = \frac{e^2}{4\pi\epsilon_0\epsilon_r D} \quad \text{“charging energy”}$$

For macroscopic capacitors, this energy is too small to be measured

As the capacitor gets smaller,  $E_c$  increases to measurable values

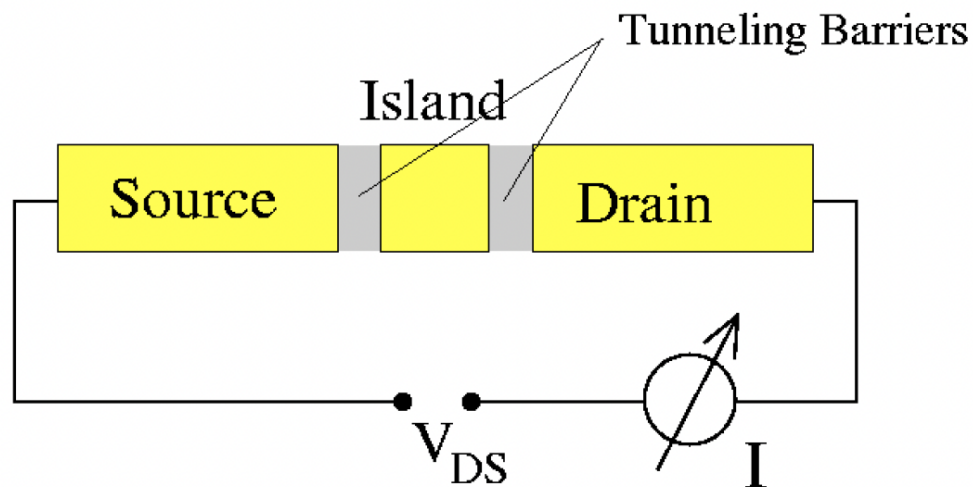




Study addition and removal of electrons onto quantum dots

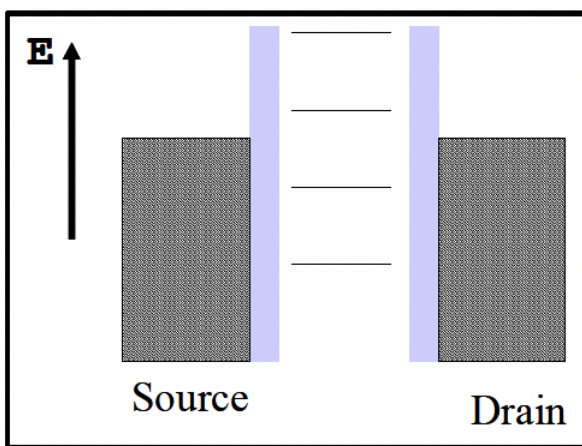
"addition energy spacing" corresponding to  $E_C$

it does not stem from the level quantization due to the quantum confinement

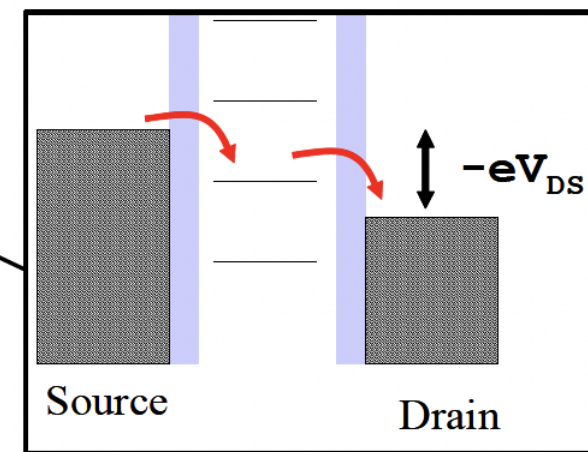
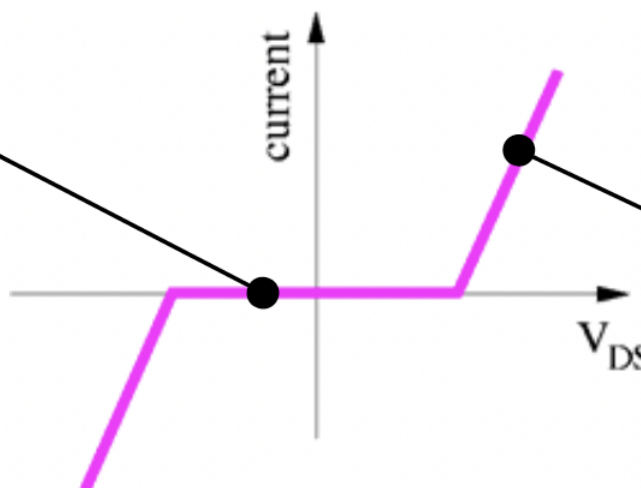


quantum mechanical resonant tunneling

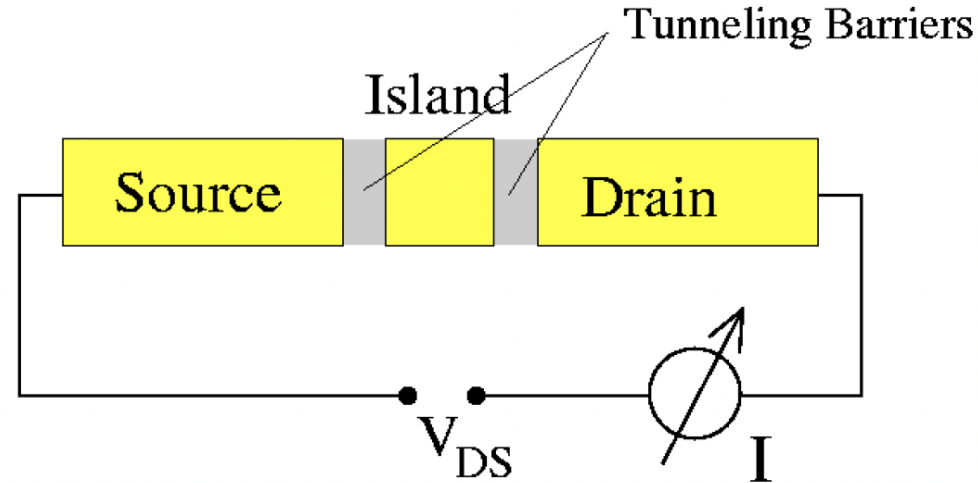
an additional electron can only enter the dot if the Coulomb repulsion is overcome



**Blockade**



**Current flow**



## 1. Thermal broadening

$$E_C \gg k_B T$$

$$RT: k_B T \approx 25 \text{ meV}$$

typically, LT investigations (mK to few K)

## 2. Lifetime

the electron must stay in the dot enough time to be observed

this timescale is related to the resistance  $R$  of the tunnel junction,  $\Delta t = RC$

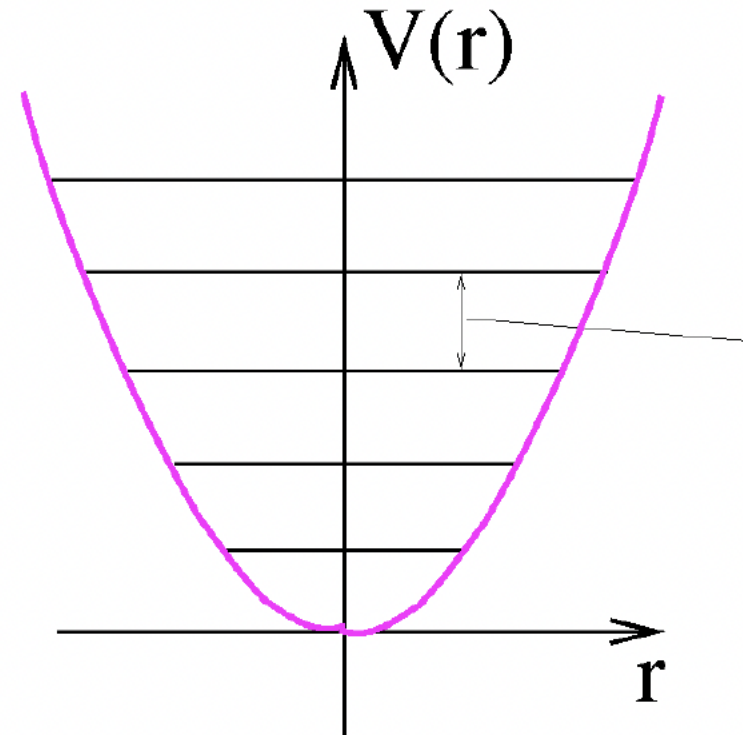
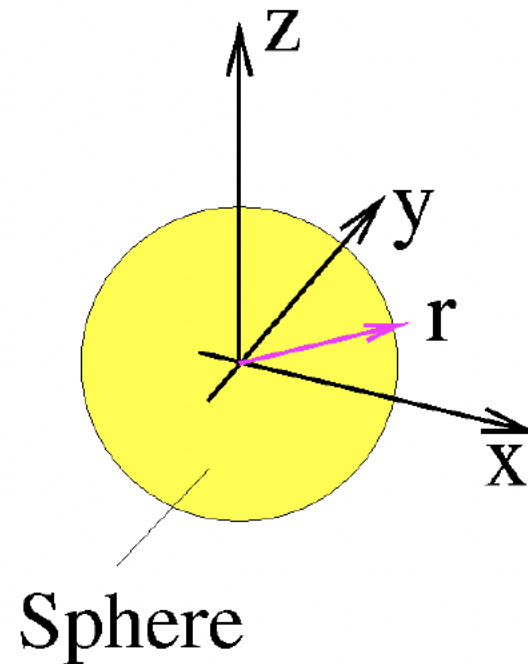
the condition is obtained by the Heisenberg uncertainty principle

$$E_C \Delta t \approx \frac{e^2}{C} RC > h \quad \rightarrow \quad R \gg \frac{h}{e^2} \approx 25.8 \text{ k}\Omega$$



parabolic confinement  $\rightarrow$  equally spaced energy levels (harmonic oscillator)

(for rectangular wells: states  $\propto n^2$ )



$$\Delta = \frac{h^2}{2m^*(2r)^2}$$



# When does quantum size effects play a role?

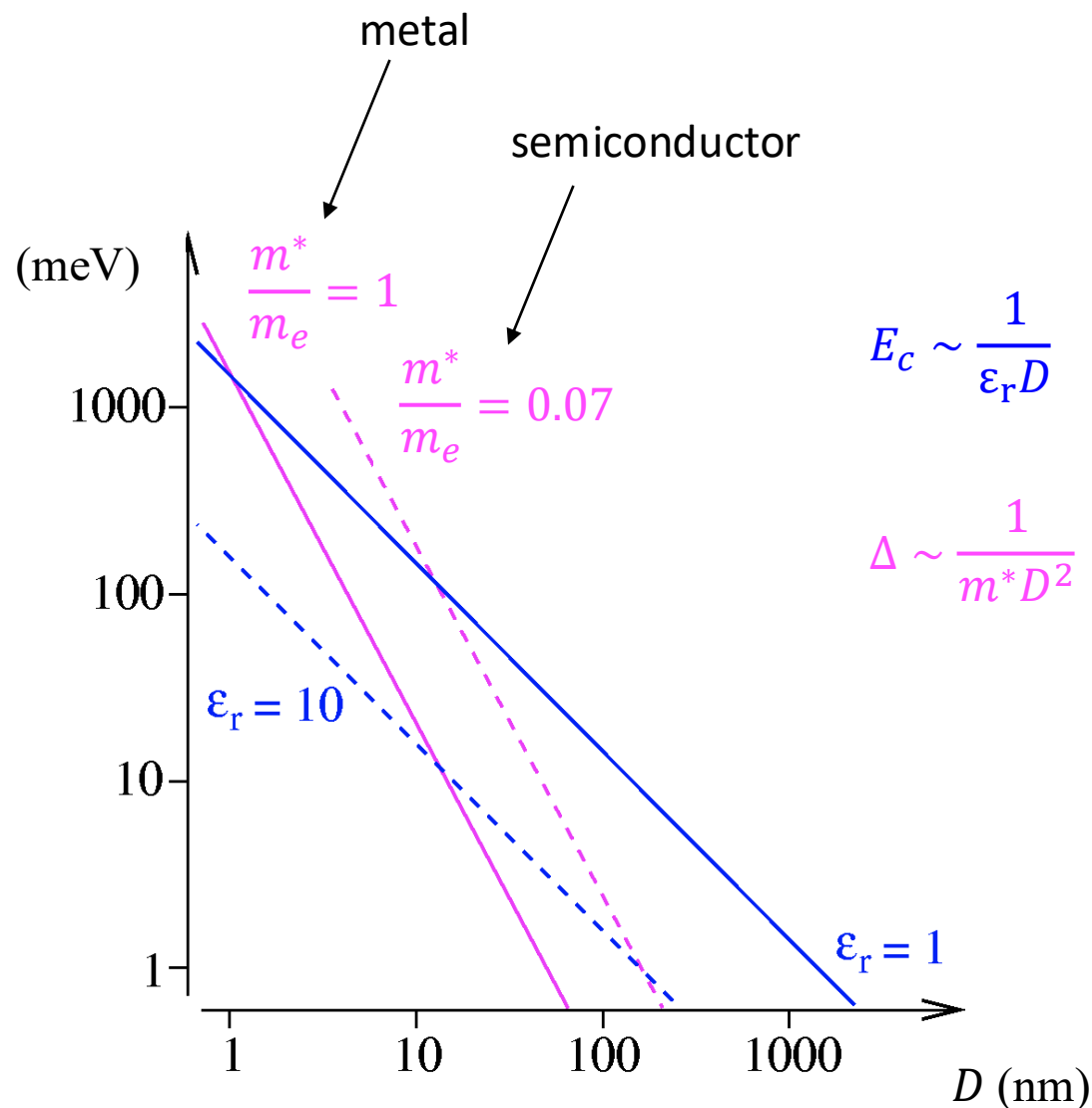
Typical realizations of quantum dots:  
 small metal islands  
 semiconductor heterostructures,  
 electrostatic gates

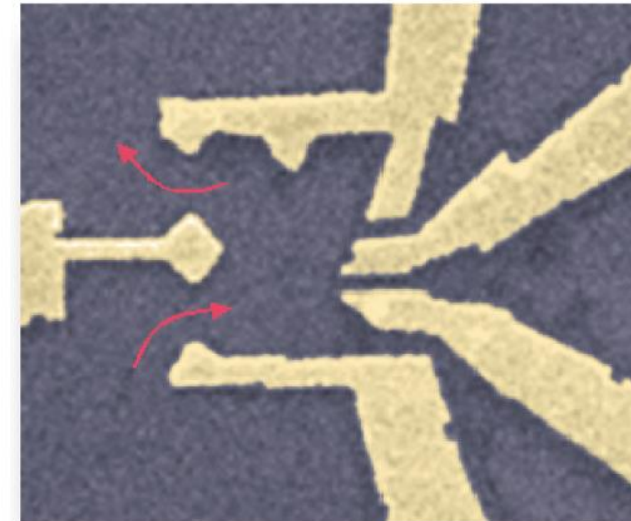
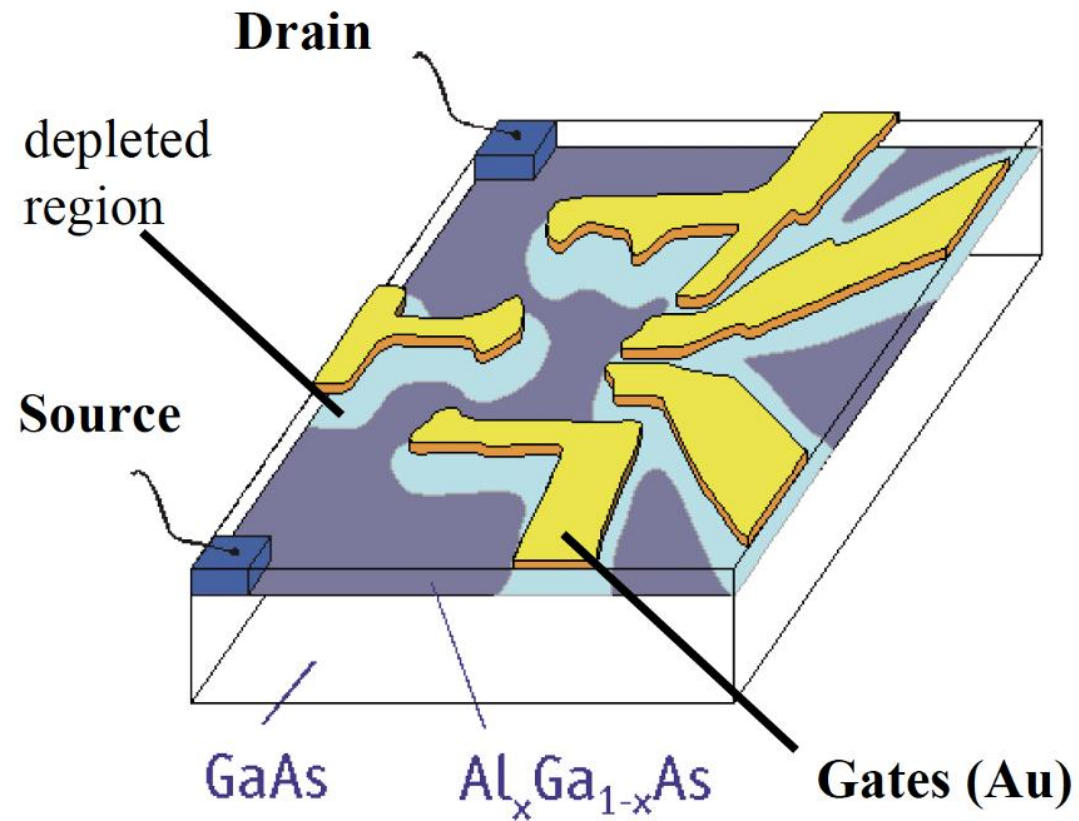
Quantum size effect (discrete levels):  
 the Fermi wavelength (or the de Broglie wavelength) of the electrons is of the order of the lateral dimension of the quantum dot

Level splitting for a spherical QD,  
 parabolic confinement :  $\Delta = \frac{h^2}{2m^*D^2}$

Depending on the nature of the dot,  $E_c$  or  $\Delta$  will be dominant

If  $E_c \gg \Delta$  : classical dot



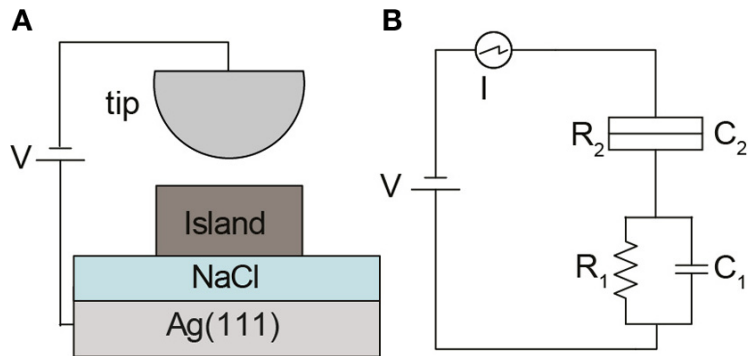


1 μm

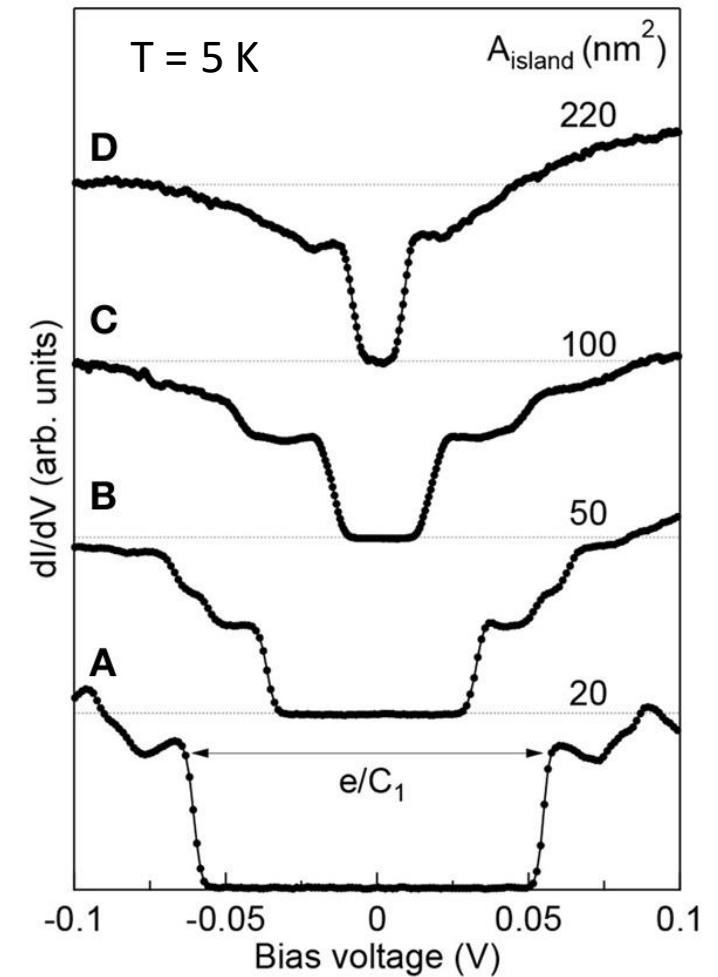
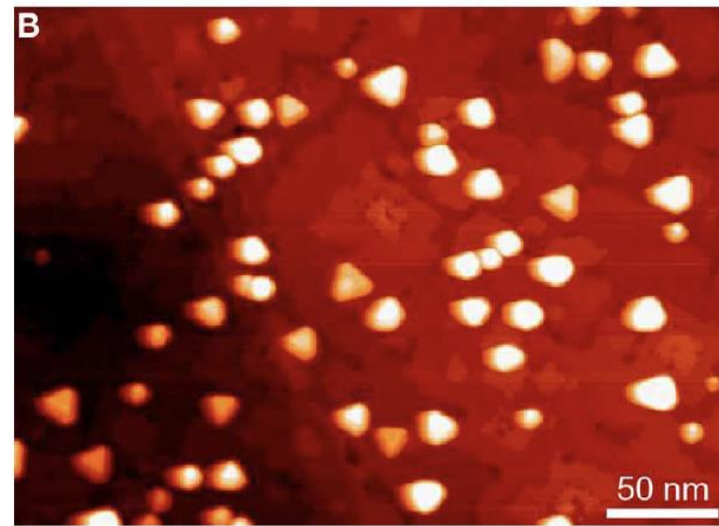
portion of the 2DEG  
segregated by the gates



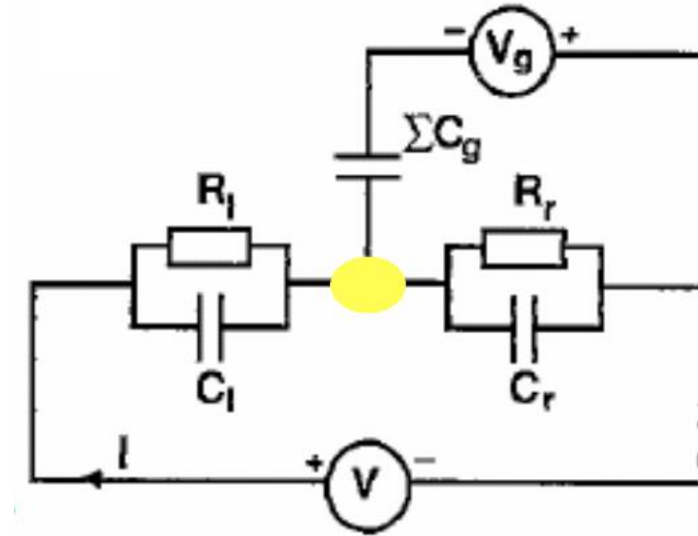
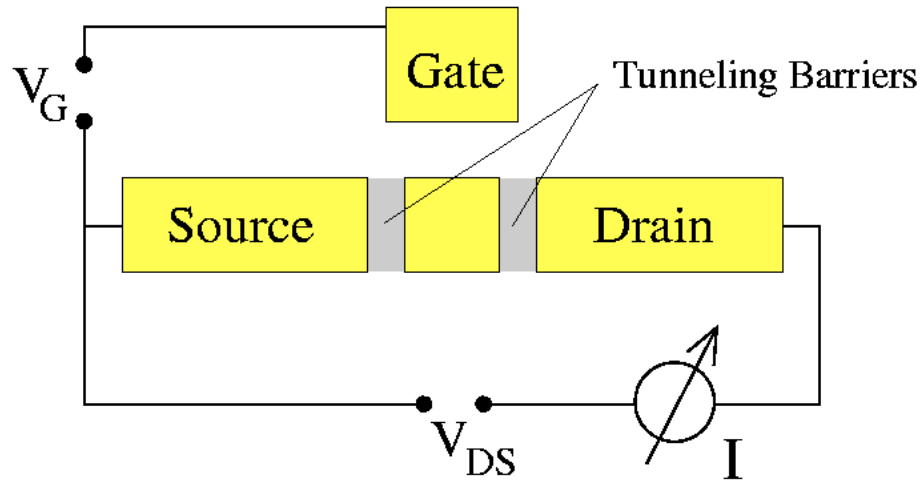
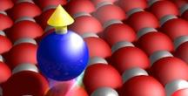
## STM experiment



superconducting gap  $\leq 1\text{meV}$



$$C_1 = \epsilon_0 \epsilon_r \frac{A_{\text{island}}}{d_{\text{NaCl}}}$$



The position of the energy levels within the quantum dot with respect to the electrochemical potential of the leads can be influenced just like in a conventional field effect transistor by an electrostatically coupled gate electrode.

This three-terminal device is called a single electron transistor (SET), since this device can be operated in such a manner that only one electron at a time can pass the quantum dot.



- 1) single capacitance  $C$  between electrons on the dot and the environment
- 2) single-particle energy-level spectrum independent of the number of electrons

Total energy of the dot (CI-model):

$$U(N) = \text{energy quantized levels} + \text{electrostatic energy}$$

Electrochemical potential of  $N$  electrons on the dot

$$\mu(N) = U(N) - U(N - 1) = E_N + \frac{e^2}{2C} (2(N - N_0) - 1) - e\alpha V_G$$

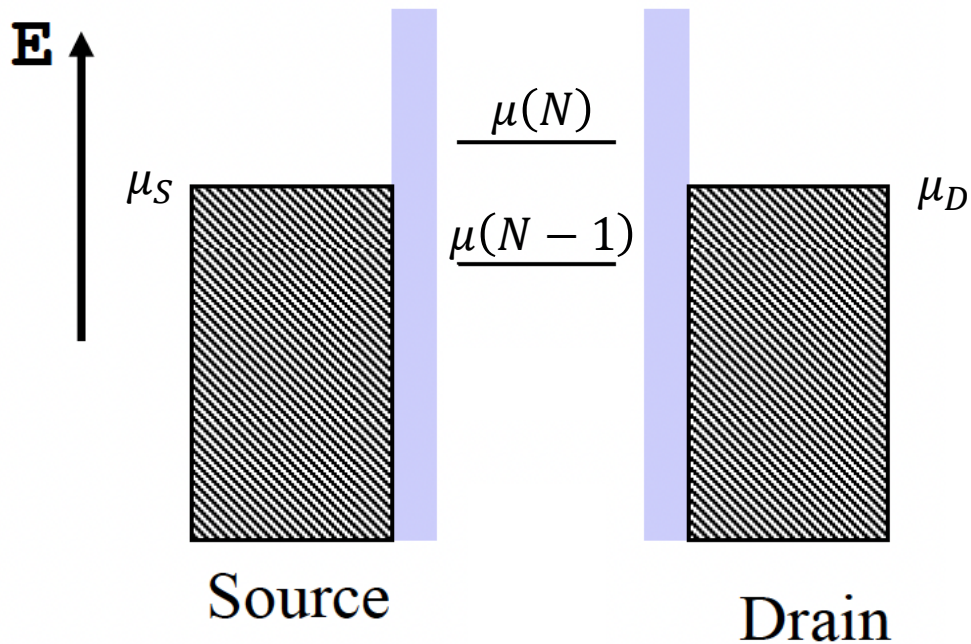
$E_N$  single particle energy level

$N_0$  number of electrons on the dot without applied voltage

$$\alpha = C_G/C$$

Addition energy (separation between two adjacent energy levels with specific electrochemical potentials)

$$\mu(N + 1) - \mu(N) = \frac{e^2}{C} + E_{N+1} - E_N = \frac{e^2}{C} + \Delta$$

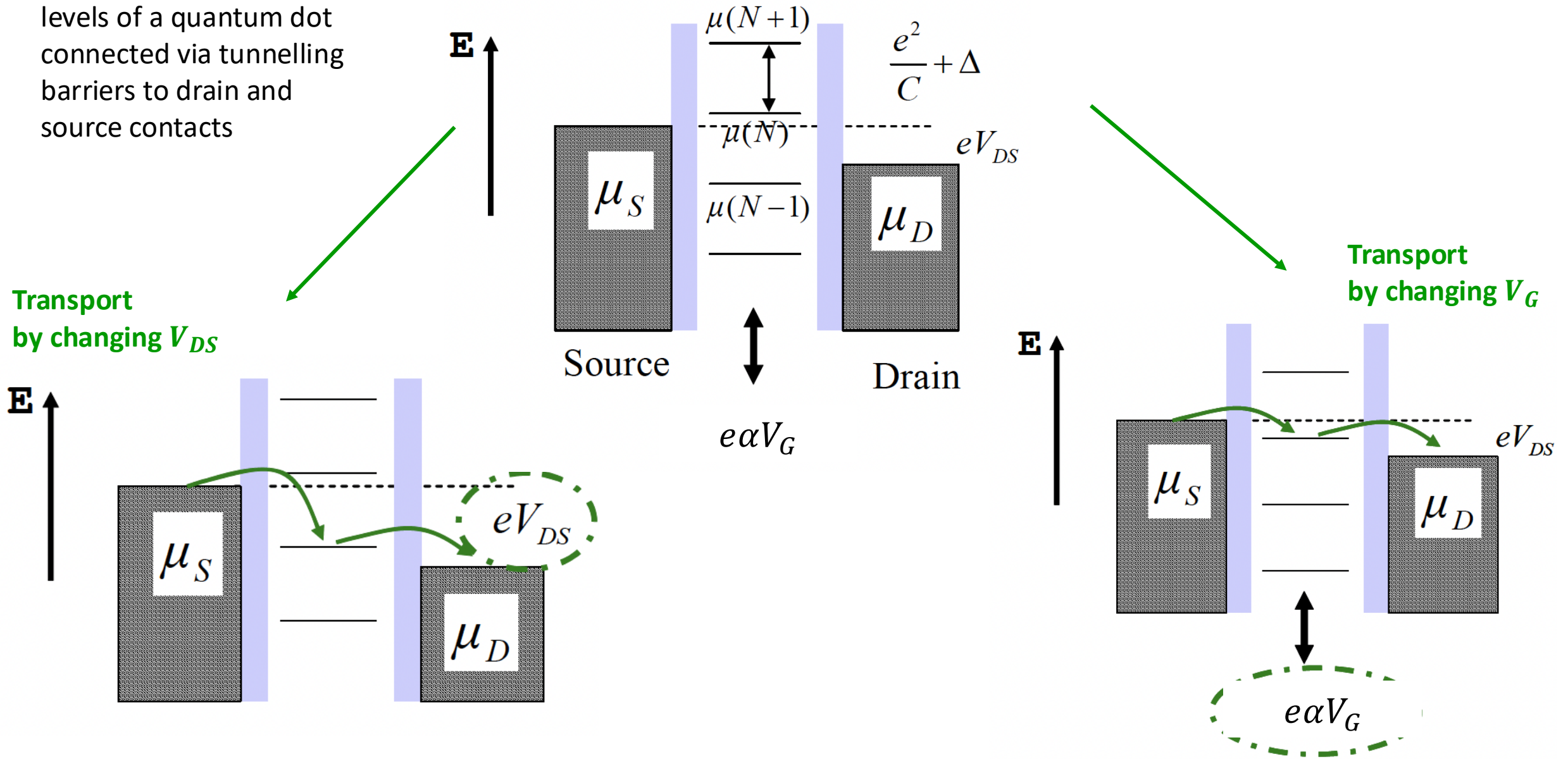




# Single electron transport through the dot

electrochemical potential levels of a quantum dot connected via tunnelling barriers to drain and source contacts

## Blockade (off resonance)

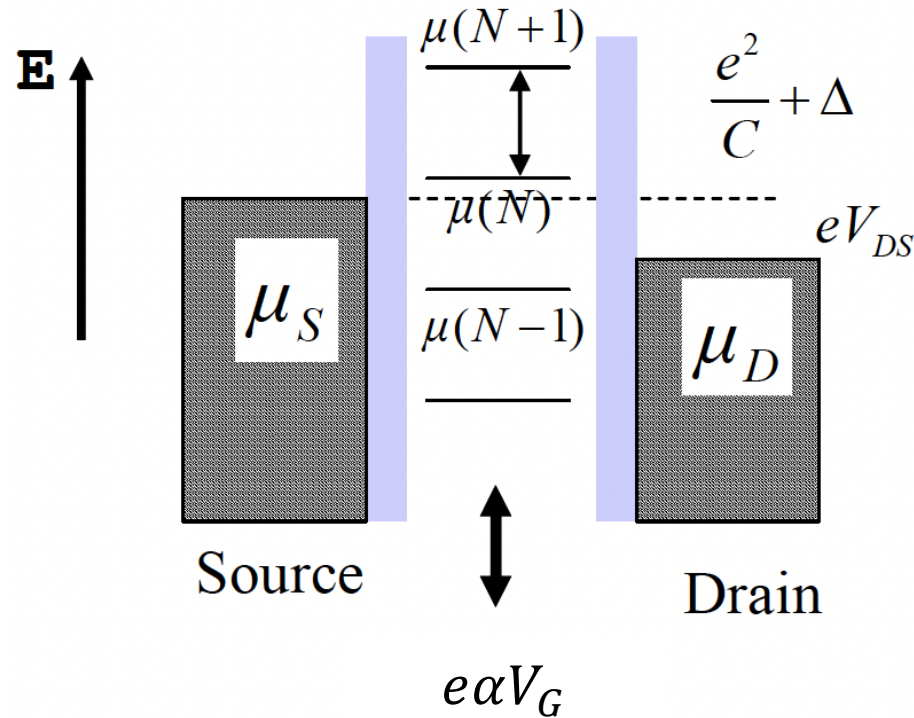




## Exercises 9.1 – 9.2

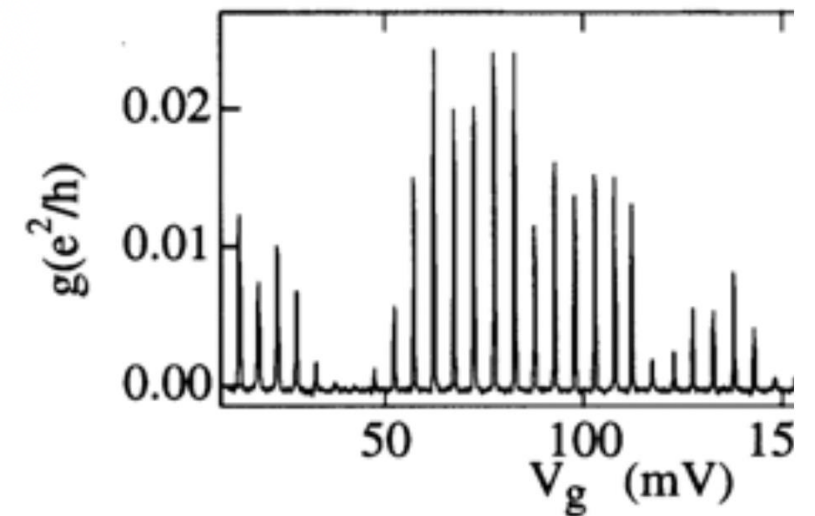
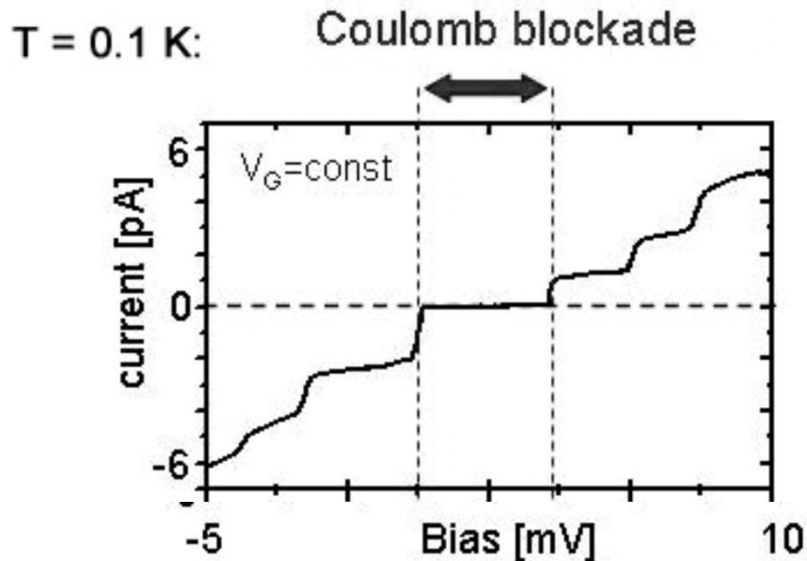
Changing the drain-source voltage so that a level lies within the bias window opened by  $eV_{DS}$

Each time a further level enters the bias window the current suddenly increases



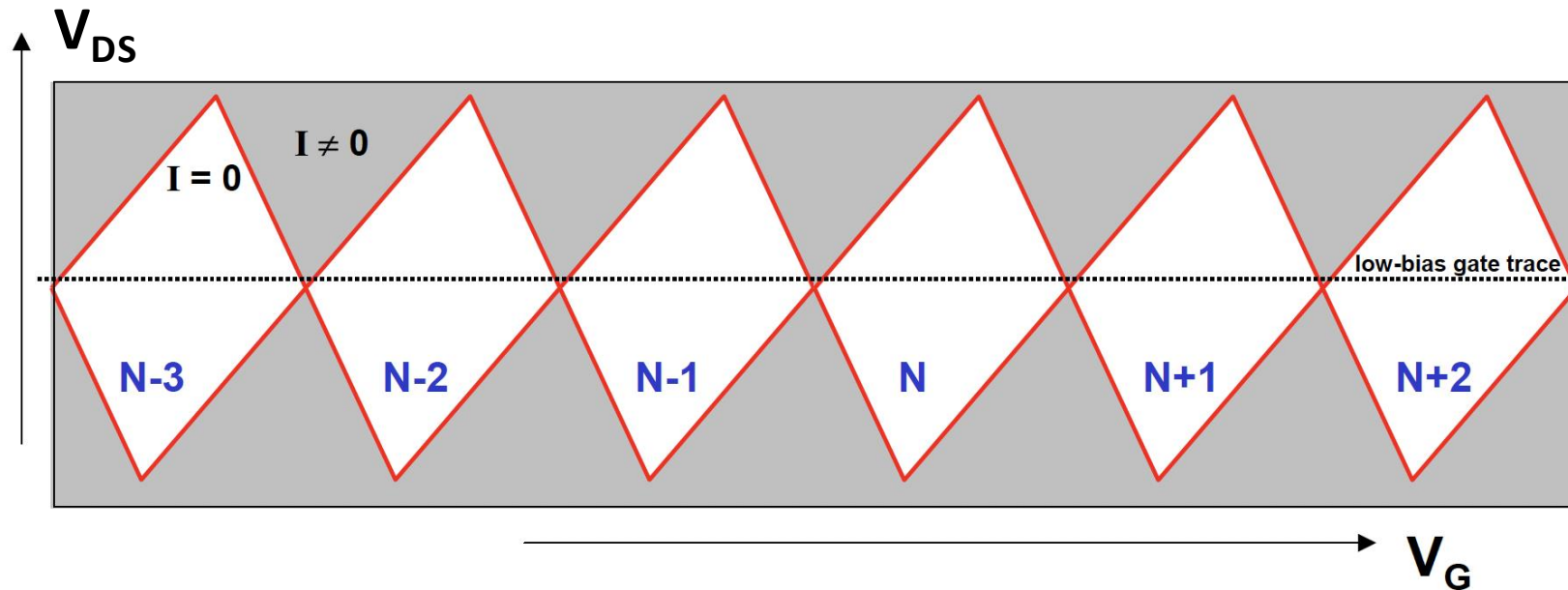
Changing the gate-source voltage so that a level lies within the small bias window  $eV_{DS}$

The conductivity oscillates between a finite value (resonant tunneling) and zero



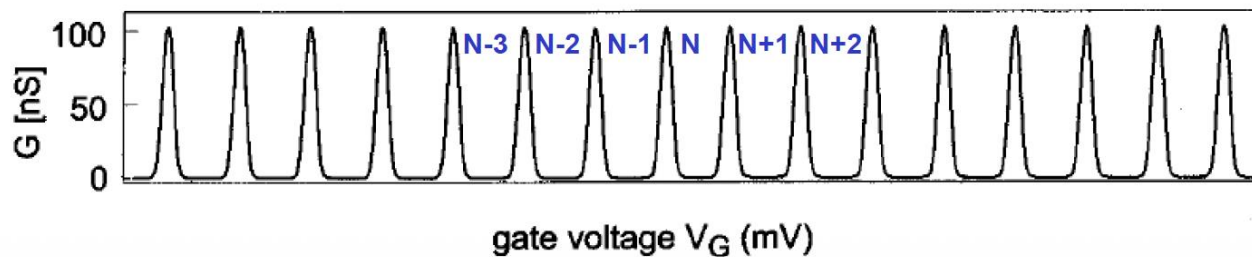


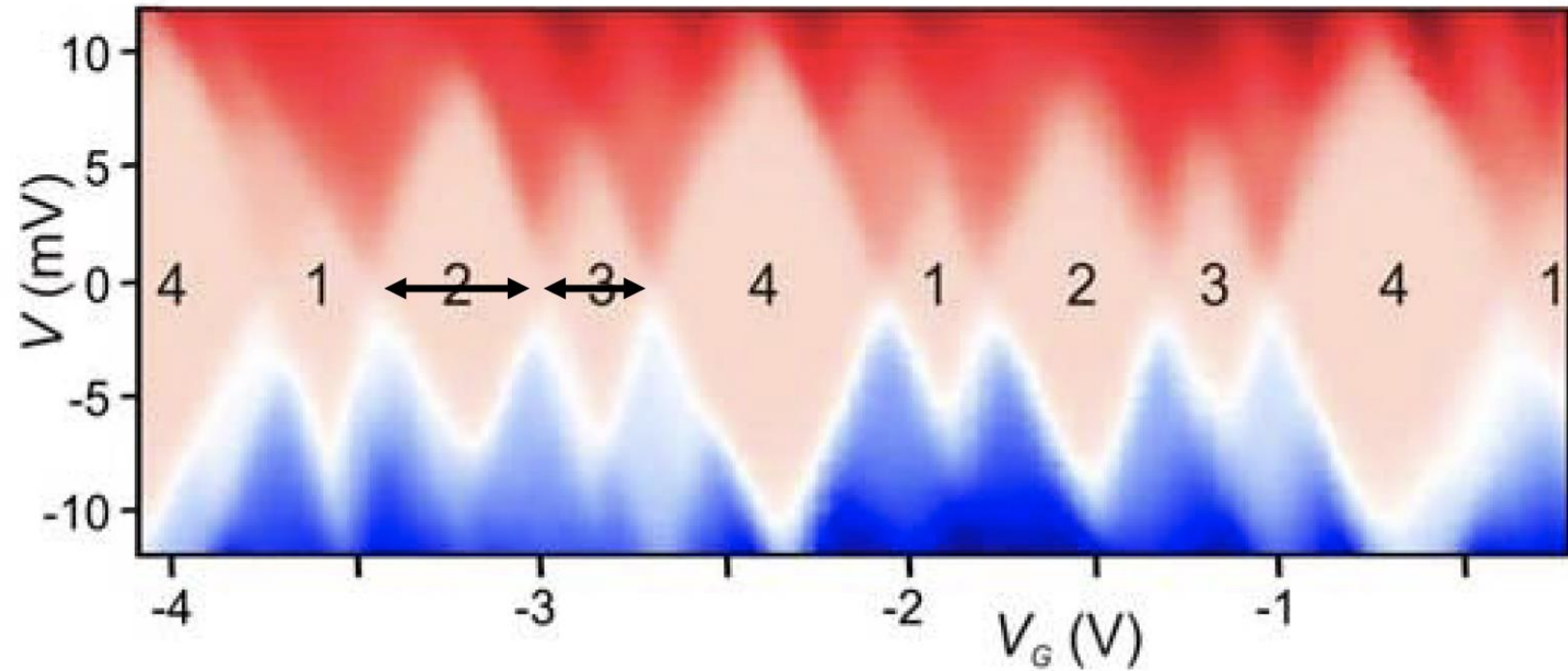
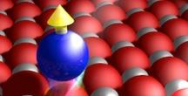
2D graph that plots the conductance (or current) as a function of the gate voltage,  $V_G$ , and the bias voltage,  $V_{DS}$ , revealing the Coulomb blockade regions and conductance peaks



inside the Coulomb diamonds (white regions): **blockade**, the number of electrons is fixed and no current flows

outside the Coulomb diamonds (grey): **transport**, the number of electrons fluctuates and current flows





The addition energy is not the same for all additional electrons, there is a “superstructure” (fourfold shell filling, artificial atoms)

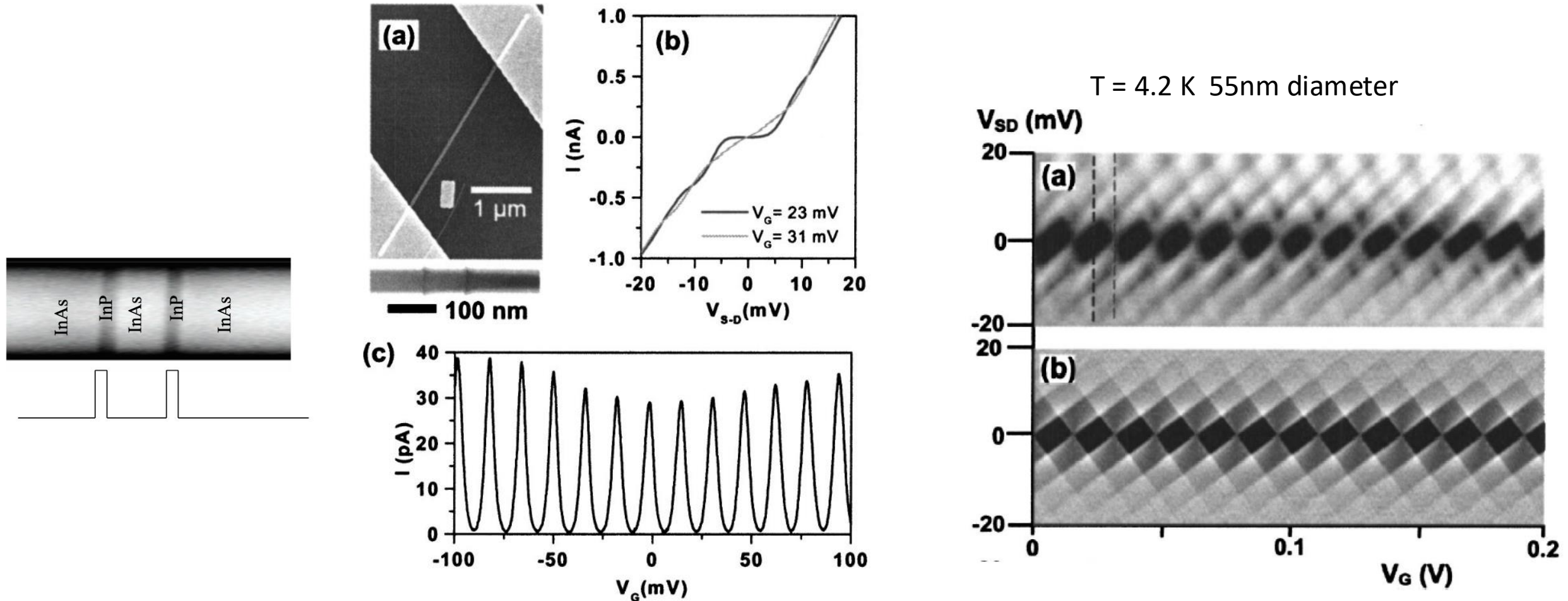
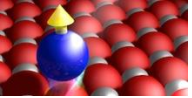


FIG. 2. (a) Scanning electron micrograph of an electrically contacted InAs nanowire with an InP double-barrier structure. The lower inset shows how a selective InAs etch can be used to reveal the InP double barrier (transmission electron micrograph). (b)  $I$ - $V$  curves for the 55 nm diameter device in Fig. 2(a) recorded for two different gate voltages at  $T=4.2$  K, corresponding to a blocked (black) and a conducting state (gray). (c) Current through the device plotted as a function of the universal back-gate voltage for  $V_{SD}=0.5$  mV.



High sensitivity electrometer (RF-SET for high speed and high sensitivity)

Electrostatic potential probe (the electrochemical potential of the electrons on the dot is very sensitive to electrostatic fields in the close vicinity of the dot)

Single electron memory (like Floating Gate FET)

Thermometer below 1K (based on the width of Coulomb peaks)

...